

SUBMISSION COVER SHEET

Registered Entity Identifier Code (optional)

Date: 10/04/2013

IMPORTANT: CHECK BOX IF CONFIDENTIAL TREATMENT IS REQUESTED.

ORGANIZATION

DW SEF LLC

FILING AS A:

DCM

SEF

DCO

SDR

ECM/SPDC

TYPE OF FILING

• **Rules and Rule Amendments**

- Certification under § 40.6 (a) or § 41.24 (a)
- "Non-Material Agricultural Rule Change" under § 40.4 (b)(5)
- Notification under § 40.6 (d)
- Request for Approval under § 40.4 (a) or § 40.5 (a)
- Made Available To Trade Determination under § 40.5 or § 40.6
- Advance Notice of SIDCO Rule Change under § 40.10 (a)

• **Products**

- Certification under § 40.2 (a) or § 41.23 (a)
- Submission under § 39.5
- Swap Class Certification under § 40.2 (d)
- Request for Approval under § 40.3 (a)
- Novel Derivative Product Notification under § 40.12 (a)

RULE NUMBERS

Notice to DW SEF Participants concerning no-action relief from CFTC Regulation 37.6(b).

DESCRIPTION

Emergency Rule Certification: DW SEF has notified its participants that it will not, during the term of the no-action relief set forth in CFTC Letter No. 13-58, provide a confirmation, as otherwise required by CFTC Regulation 37.6(b), to the counterparties of any non-cleared swap transaction executed on the TW SEF.

DW SEF LLC
1177 Avenue of the Americas
New York, New York 10036

October 4, 2013

By electronic submission

Ms. Melissa Jurgens
Office of the Secretariat
Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, N.W.
Washington, D.C. 20581

Re: Commission Regulation 40.6(a)(6)
Emergency Rule Certification

Dear Ms. Jurgens:

DW SEF LLC (“DW”) hereby notifies the Commodity Futures Trading Commission (the “Commission” or the “CFTC”) that:

1. DW certifies that it has adopted the Notice to DW SEF Participants attached hereto as Appendix A (the “Notice”) as an emergency rule pursuant to Commission regulation 40.6(a)(6).
2. DW certifies that the Notice complies with the Commodity Exchange Act and regulations promulgated by the Commission thereunder.
3. DW has electronically submitted the Notice to the Commission under cover of the enclosed submission cover sheet in accordance with Appendix D to Part 40 of the Commission’s regulations.
4. DW posted the Notice on its website today, October 4, 2013, with immediate effect for the period set forth in CFTC Letter No. 13-58 issued by the Division of Market Oversight of the Commission on September 30, 2013.
5. To avail itself of the no-action relief from Commission regulation 37.6(b) provided by CFTC Letter No. 13-58, DW issued the Notice to notify all of its participants that, during the term of the no-action relief granted by the Commission, DW SEF LLC would not provide a confirmation to the counterparties of any non-cleared swap transaction executed on the DW SEF.

In the event that you have questions, please call me at (646) 430-6145 or send an email to robert.paul@tradeweb.com.

Yours truly,

A handwritten signature in black ink that reads "C. Robert Paul". The signature is written in a cursive style with a large, stylized "C" and "P".

C. Robert Paul
Chief Compliance Officer

cc: Nancy Markowitz
Lois Gregory

Appendix A

NOTICE TO DW SEF PARTICIPANTS

October 4, 2013

In accordance with the conditions of CFTC Letter No. 13-58 issued by the Division of Market Oversight of the Commodity Futures Trading Commission on September 30, 2013 (the "CFTC Letter"), DW SEF LLC hereby notifies all of its Participants that, during the term of the no-action relief set forth the CFTC Letter, DW SEF LLC will not provide a confirmation to the counterparties of any non-cleared swap transaction executed on the DW SEF.

If you have any questions or would like any additional information, please contact Scott Zucker, DW SEF Chief Administrative Officer, at 646.430.6160.