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TW SEF LLC: Disciplinary Action

For trade date November 7, 2023 HSBC Bank PLC violated TW SEF Rule 1005 by failing to notify the SEF of an error trade as well as subsequent offsetting and correcting trades (either by contacting TW SEF or using TW SEF's offset and correct functionality).

Summary Notice of Fine (\$1250)

Effective Date: January 2, 2024